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JOHNIE CROSBY

(Name of person mailing)

Johnie Crosby

(Signature of person mailing)

ATTORNEY FILE NO. 8406/4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF )  
 )  
HOWARD B. GARBER )  
 )  
SERIAL NO. 08/868,200 )  
 )  
FILED: June 3, 1997 )  
 )  
FOR: SYSTEM AND METHOD FOR )  
TRADING HAVING A )  
PRINCIPAL MARKET MAKER )

**PRELIMINARY AMENDMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Before examining the above-identified application, please amend the application as follows:

#24  
12/2/97